

Submission by Switzerland, 21 October 2011

3.2.1. Draft Guidelines for Biennial Reports of Developed Country Parties

Non-paper by the facilitators dated 14.10.2011 @ 5 PM

Draft decision to adopt the guidelines:

The Conference of the Parties,

Recalling the relevant provisions of the United Nations Framework Convention on Climate Change, in particular Articles 4, 6, 7.2, 9.2(b), 10.2, and 12 thereof,

Recalling its decisions 9/CP.2, 11/CP.4 and 4/CP.5 on national communications from Parties included in Annex I to the Convention,

Recalling also its decision 1/CP.16, part III.A, on enhancing the reporting in the national communications of Parties included in Annex I to the Convention, building on existing reporting guidelines, processes and experiences,

Noting that the revised guidelines for the preparation of national communications by Parties included in Annex I to the Convention, annexed to decision 4/CP.5, need to be updated to improve the transparency, consistency, comparability, completeness and accuracy of the information reported,

Noting further that the biennial reports by Annex I Parties will be an important part of the first review of the adequacy of the long-term global goal (decision 1/CP.16, part V), starting in 2013 and to be concluded by 2015,

1. *Adopts* the guidelines for the preparation of biennial reports by Parties included in Annex I to the Convention, annexed to this decision (hereafter the UNFCCC biennial reporting guidelines for Annex I Parties);
2. *Decides* that Parties included in Annex I to the Convention (Annex I Parties) should use the UNFCCC biennial reporting guidelines for the preparation of their first biennial report due by 15 October 2012;
3. *Decides* that the UNFCCC biennial reporting guidelines for Annex I Parties will be revised soon after the first submission of the biennial reports by Annex I Parties and based on the experience made;
4. *Request* the Subsidiary Body for Implementation (SBI) to proceed jointly to the revision of the guidelines referred to in paragraph 1 above and to the revision of the guidelines for the preparation of National Communications by Parties included in Annex I to the Convention (Annex to decision 4/CP.5) beginning at its 38th session with a view to presenting a draft decision to be adopted by the Conference of the Parties at its 20th session.
5. *Request* the Secretariat to make available to the SBI, before its 38th session, a technical paper based on the first biennial reports submitted by Annex I Parties, with the aim to facilitating the revision process of the two guidelines in a coherent manner.
6. *Urges* Parties included in Annex II to the Convention to assist Annex I Parties with economies in transition, through appropriate bilateral or multilateral channels, with technical aspects of the preparation of biennial reports,
7. *Urges* Parties included in Annex I to the Convention and those Parties not included in Annex I to the Convention in a position to do so, to assist Parties not included in Annex I to the Convention, through appropriate bilateral or multilateral channels, with financial, technical and capacity building aspects of the preparation of their biennial update reports.

Draft guidelines for the biennial reports (BR) of developed country Parties:

Reference	Submission by Switzerland	Rationale
I. Para 1(c)	To facilitate the international assessment and review (IAR) of emissions and removals related to the achievement of quantified economy-wide emission reduction targets and on increasing of the level of ambition of the ambition of the economy wide emission reduction targets;	<ul style="list-style-type: none"> • Wording closer to decision 1/CP.16 (para 44 and 46d). • Increasing the level of ambition is not an objective of the biennial report but of the IAR process. • Formulation in yellow unclear, with repetitions.
II. Title	Information on greenhouse gas emission inventory trends	<ul style="list-style-type: none"> • The annual inventories provide the GHG emission trends
II. Para 2	Developed country Parties shall report summary information on greenhouse gas (GHG) trends by gas and by sector in tabular format (to be defined) for each year from the base year to the year reported in the most recent inventory submission and consistently with the information therein. For the period from 1990 up to 2010, emissions information shall be reported [for five year increments][annually]. After 2010, emissions information shall be provided annually and shall be consistent with that provided in the most recent annual inventory submission.	<ul style="list-style-type: none"> • Copy-paste of CRF Table 10 (emission trends summary) from the year when the BR is submitted would be sufficient. • Therefore, annual emission information since the base year can easily be reported, providing a much more precise information on the GHG emission trends
II. Para 3	Developed country Parties shall report summary information on GHG trends by gas and the indicators relevant to GHG emissions and removals in tabular format (to be defined).	<ul style="list-style-type: none"> • Paragraph can be deleted as already contained in para 2 above.
II. Para 4	Parties shall provide an explanation of these emission trends, the underlying drivers and methodologies used and assumptions made.	<ul style="list-style-type: none"> • To keep the BR short, only an explanation of the emission trends with underlying drivers is needed.
II. Para 5	Parties shall also provide [a brief general][detailed] description of the methodologies used for the annual inventory [and assumptions made.][with a reference to the methodologies elaborated by the Intergovernmental Panel on Climate Change and the accounting rules].	<ul style="list-style-type: none"> • Paragraph can be deleted as methodologies used and assumptions made are reported in detail in the NIR+CRF submitted the same year as the BR.
III. Title	Quantified economy-wide emission reduction target	<ul style="list-style-type: none"> •
III. Para 7	The description of the Party.s national economy-wide emission reduction target shall include, [in accordance with accounting rules adopted by decision XXX], as appropriate, the following information:	<ul style="list-style-type: none"> • Common accounting rules are eventually to be defined but will not apply to the elements stated in paragraph 7(a) to 7(d).
III. Para 7(a)	The emission reduction target expressed as a per cent reduction in emission levels, the base year and base year level of emissions against which the per cent emission reduction is set, the year in which the target is to be achieved [, emission reduction trajectory and target converted into quantified emission limitation and reduction and assigned amount], [if applicable];	<ul style="list-style-type: none"> • Trajectory is relevant for longer-term targets • Assigned amount relates too much to the KP

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III. Para 7(b)	Gases [and sectors] covered;	<ul style="list-style-type: none"> The target is economy-wide and covers all the sectors by definition
III. Para 8	[Each Party shall provide information on the role of the land use, land-use change and forestry (LULUCF) sector in achieving its emission reduction target [based on internationally agreed accounting rules] . This information shall include the following:	<ul style="list-style-type: none"> In this paragraph the reference to internationally agreed accounting rules is necessary and welcome
III. Para 8(b)	Whether the accounting approach is activity-based, [as under the Kyoto Protocol,] or comprehensive land-based	<ul style="list-style-type: none"> No explicit reference to the KP, even if experience from it valuable
III. Para 9	[Each Party shall provide information, based on internationally agreed accounting rules, on its [anticipated] use of carbon [credits][offset units] from market-based mechanisms in achieving its economy-wide emission reduction target. This information shall include the following:	<ul style="list-style-type: none"> In this paragraph also, the reference to internationally agreed accounting rules should be made Paragraphs 9(a)-9(d) can be deleted as more information on the use of carbon credits will be reported following Part IV.B. of the guidelines (Progress).
IV. III. Para 10	Each Party shall describe any domestic arrangements, including legislation and administrative procedures, for monitoring or enforcing progress towards its target.	<ul style="list-style-type: none"> Move to Part IV of the guidelines (Progress).
IV. Title	Progress in the achievement of economy-wide quantified emission reduction targets [and commitments]	<ul style="list-style-type: none"> For the time being, there is no commitment under the Convention, only targets
IV. A. Para 11	Each developed country Party shall provide information on the mitigation actions, including policies and measures it has implemented or plans to implement since its last National Communication to achieve its economy-wide emission reduction target. Parties shall organize reporting of mitigation actions by the following sectors: energy industries, energy use in industry, other energy use, transport, industrial processes, agriculture, forestry and land use, and waste. Within each sector, Parties shall prioritize the reporting of actions with the most significant mitigation potential.	<ul style="list-style-type: none"> The BR is not a NC, it is an update of the NC and therefore only the new P&M since the last NC should be reported.
IV. A. Para 15(b)	An estimation of the aggregated annual emission reductions achieved by the implementation of the mitigation actions [relative to carbon budget over a commitment period] .	<ul style="list-style-type: none"> The estimated aggregated annual emission reductions can be in absolute terms and in percentage. No commitment for the time being
IV. B. Para 19(b)(i)	On an activity-by-activity basis for Parties that use [Kyoto Protocol][such] accounting;	<ul style="list-style-type: none"> No explicit reference to the KP, even if experience useful
IV. B. Para 20(c)	Total Accounted emissions and [credits][offset units] , calculated as the sum of Emissions including LULUCF minus plus Acquisitions of international credits plus minus transfer of international credits;	<ul style="list-style-type: none"> Credits acquired count towards the target and credits transferred come in subtraction
V. Para 23	Each developed country Party [shall][should] provide GHG projections	<ul style="list-style-type: none"> Projection for 2020 are useful also to address the

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	from the latest inventory year through 2020 [or later][and decadal until 2050], for the following:	gap (projected emissions in 2020 compared to emission target for that year), on which there is also to report (V. Para 25).
V. Para 25(a)	[Quantification of any projected gap between projected emissions in 2020 and its economy-wide emission reduction target, or any overachievement [and underachievement] of the target;]	<ul style="list-style-type: none"> The gap already expresses an underachievement of the target
V. Para 26	Parties may also report a .without measures. projection. If provided, the without measures projection should exclude all mitigation actions implemented after the [base year] [year chosen as the starting point for this projection].	<ul style="list-style-type: none"> Without measures is without measures, from the base year to the target year Some measures taken before the starting year of the projection may have effects during the projection period
VI. A. Para 29	Each developed country Party shall describe, to the extent possible , how it [seeks to] ensure that the resources it provides effectively address the needs of developing country Parties with regard to climate change adaptation and mitigation.	<ul style="list-style-type: none"> If the resources provided address the needs or not is a question that can be better answered by the developing countries receiving the resources and knowing exactly its needs
VI. A. Para 30	Each developed country Party shall provide information on the financial support it has provided and facilitated for the purpose of assisting developing country Parties to mitigate GHG emissions and adapt to the adverse effects of climate change, and for capacity-building in the areas of mitigation and adaptation. To that end, each developed country Party shall provide summary information in textual format and in tables (to be defined) on annual contributions for the previous two calendar years since the last one reported on in the previous national communication to the following:	<ul style="list-style-type: none"> Mix of paragraph 30 and 31. The BR should be kept short and summary information should be sufficient Important to report on the years since the one in the last NC (continuity)
VI. A. Para 31	Delete	<ul style="list-style-type: none"> Overlap with paragraph 30 Reporting on the previous 5 years overlap with the information in the last NC
VI. A. Para 33	Delete	<ul style="list-style-type: none"> BR have to be kept short Financial support for adaptation is reported (paragraphs 29, 30, 32)
VI. A. Para 36	[Developed country Parties shall report on investment in mitigation activities under international market-based mechanisms.] [Information should be included on support provided by Parties through purchase by Governments of carbon market units under the UNFCCC and the Kyoto Protocol which are not used for compliance with mitigation targets using a tabular format (to be defined)]	<ul style="list-style-type: none"> No explicit reference to KP, even if experience useful
VI. B. Para 37	Each developed country Party shall provide information on measures taken to promote, facilitate and finance the transfer of, access to, and	<ul style="list-style-type: none"> The list is not exhaustive and could be out-of-date in a few years time

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	<p>deployment of environmentally-sound technologies and to support the development and enhancement of endogenous capacities and technologies of developing countries and may also provide information on success and failure stories. [Such measures could include participation in multilateral technology initiatives, such as the Renewable Energy [and Energy Efficiency Partnership] or the Asian-Pacific Partnership on Clean Development and Climate].</p>	
VI. B. Para 38	<p>Each developed country Party shall provide a textual description of individual measures and activities implemented or planned since its last national communication. In reporting these measures and activities, Parties shall clearly distinguish between activities undertaken by the public sector and those undertaken by the private sector. As the ability of Parties to collect information on private-sector activities is limited, Parties may indicate, where feasible, in what way they have encouraged private-sector activities and how these activities help to meet the commitments of Parties under Article 4, paragraphs 3, 4 and 5, of the Convention. Parties shall also indicate whether the activity is related to .hard. or .soft. technologies.</p>	<ul style="list-style-type: none"> • Only an update is required in the BR
VI. B. Para 39	<p>Each developed country Party shall summarize information related to technology transfer in tabular format (to be defined). A separate table shall be provided for each of the previous two calendar years since the last one reported on in the previous national communication.</p>	<ul style="list-style-type: none"> • Important to report on the years since the one in the last NC (continuity)
		<ul style="list-style-type: none"> •